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**SERIAL NO. 09/895,883**  
IBM DOCKET NO. AUS920010238US1  
DATE: August 15, 2005

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Number of Pages to Follow (including this cover sheet) 5

SEND TO: United States Patent Office

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Group Art Unit: 3623

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Docket No. AUS920010238US1

Serial No. 09/895,883

Atty: LAV / JVL

Applicant: Calderaro, et al.

<input checked="" type="checkbox"/> Transmittal Letter (2 copies)	<input checked="" type="checkbox"/> Certificate of Facsimile
<input type="checkbox"/> Amendment ( <input type="text"/> pages)	<input type="checkbox"/> Notice of Appeal
<input type="checkbox"/> Amendment AF	<input type="checkbox"/> Appeal Brief (3 copies)
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<input checked="" type="checkbox"/> Form PTO-1449 (Modified)	
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Deposit Acct. No. 09-0447

Fees: Amendment  Notice of Appeal  Appeal Brief  Other \$ 0.00

Atty Ref. No. 1032

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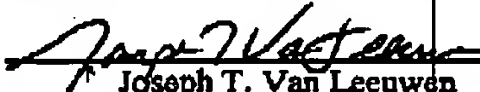
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 Joseph T. Van Leeuwen	<u>8/15/05</u> Date

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventors: Calderaro, et al.

For: System and Method for Improved Matrix Management of Personnel Planning Factors


Enclosed are:

- ☒ Information Disclosure Statement;
- ☒ Modified form 1449 listing 1 reference;
- ☒ copies of any non-U.S. Patent references listed on form 1449.

37 CFR § 1.97 is satisfied by the following:

- ☒ This IDS is being filed pursuant to CFR § 1.97(c) and:
  - ☒ no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0447. A duplicate copy of this sheet is enclosed.
- ☒ Any additional patent application processing fees under 37 CFR Sect. 1.17.

Respectfully submitted,

By   
Joseph T. Van Leeuwen  
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Any Ref. No. 1032


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Respectfully submitted,

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IBM DOCKET NO. AUS920010238US1  
DATE: August 15, 2005

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:	: Group Art Unit: 3623
Calderaro, et. al.	: Intellectual Property Law Department
Serial No.: 09/895,883	: International Business
Filed: June 29, 2001	: Machines Corporation
Title: <u>System and Method for Improved</u>	: Intellectual Property Law Dept.
<u>Matrix Management of Personnel</u>	: 11400 Burnet Road
<u>Planning Factors</u>	: Austin, Texas 78758

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While this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such. In accordance with 37 CFR § 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56 (a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR § 1.98 (a)(1). A copy of each listed item that is not a U.S. Patent document, is supplied herewith.

Respectfully submitted,

By   
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Page 1 of 1

Att. Ref. No. 1032

In Place of FORM PTO-1449 (Modified)

Serial No. 09/895,883Applicant: Calderaro, et. al.Filing Date: 6/29/2001Group: 3623Atty. Docket No. AUS920010238US1**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT****U. S. PATENT DOCUMENTS**

<u>Examiner Initial</u>		<u>Document Number</u>	<u>Date</u>	<u>Name</u>	<u>Classification Class / Subclass</u>	<u>Filing Date if Appropriate</u>
_____	AA	6,487,552	Nov 2002	Lei et al.	707 / 4	
_____	AB					
_____	AC					
_____	AD					
_____	AE					
_____	AF					
_____	AG					
_____	AH					
_____	AI					
_____	AJ					
_____	AK					
_____	AL					

**FOREIGN PATENT DOCUMENTS**

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_____	AM					
_____	AN					
_____	AO					
_____	AP					

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_____	AS
_____	AT
_____	AU

Examiner:

Date Considered:

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